

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 7-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

5035-245US/P32,279 USA

U.S. APPLICATION NO. (If known, See 37 CFR 1.5)

10/578767

INTERNATIONAL APPLICATION NO.
PCT/GB2004/004701

INTERNATIONAL FILING DATE
November 8, 2004

PRIORITY DATE CLAIMED
November 6, 2003

TITLE OF INVENTION

SECURE MULTI-ENTITY ACCESS TO RESOURCES ON MOBILE TELEPHONES

APPLICANT(S) FOR DO/EO/US

David SPOONER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 829408518 US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin-top: 10px;">10/578767</div>	INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; margin-top: 10px;">PCT/GB2004/004701</div>	ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; margin-top: 10px;">5035-245US/P32,279 USA</div>
--	--	--

23. Other items or information:

Form PCT/IB/308 - 1 page

Form PCT/IPEA/402 - 1 page

Form PCT/IPEA/401 - 3 pages

PCT Written Opinion - 5 pages

Response to PCT Written Opinion dated Nov 18, 2005 - 3 pages

Search Report & Combined Search and Examination Report in corresponding UK patent application GB 0424653.4 - 7 pages

Copy of Published PCT/GB2004/004701 - 79 pages

	CALCULATIONS	PTO USE
The following fees have been submitted:		
24. <input checked="" type="checkbox"/> Basic national fee	\$300	
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))		
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article	\$200.00	
prepared by IPEA/US indicates all claims satisfy provisions of PCT Article	\$0	
All other situations.	\$200	
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))		
If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . .	\$0	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority.	\$100	
International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB.	\$400	
All other situations.	\$500	
TOTAL OF 24, 25 and 26 =	\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)
- 100 =	0 /50 =	0
		x \$250.00
	\$0.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	39 - 20 =	19
		x \$50.00
\$950.00		
Independent claims	1 - 3 =	0
		x \$200.00
\$0.00		
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00		
	\$0.00	
TOTAL OF ABOVE CALCULATIONS =		
	\$1,850.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		
	\$0.00	
SUBTOTAL =		
	\$1,850.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		
	\$0.00	
TOTAL NATIONAL FEE =		
	\$1,850.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		
	\$0.00	
TOTAL FEES ENCLOSED =		
	\$1,850.00	
Amount to be		\$
Amount to be		\$

10/578767

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3040. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard C. Woodbridge, Esq.
Synnestvedt Lechner & Woodbridge LLP
P.O. Box 592
Princeton, NJ 08542-0592


SIGNATURE

Richard C. Woodbridge

NAME

26,423

REGISTRATION NUMBER

May 5, 2006
DATE

"EXPRESS MAIL CERTIFICATE"

"Express Mail" Mailing Label Number: EV 829408518 US

Express Mail Corporate Account Number: X085-783

Date of Deposit: May 5, 2006

Title of Invention: SECURE MULTI-ENTITY ACCESS TO RESOURCES
ON MOBILE TELEPHONES

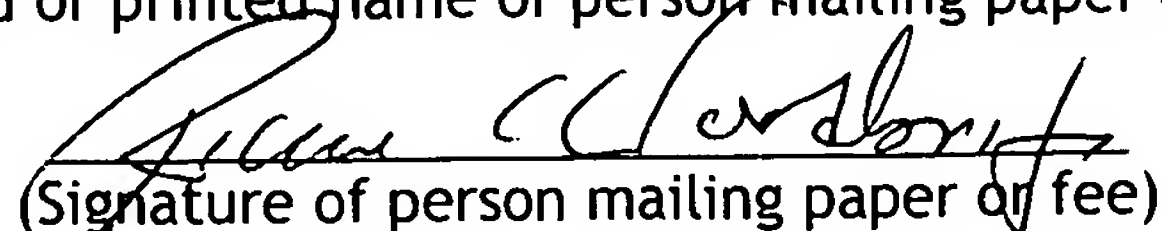
Inventor: David SPOONER

Type of Documents:

1. Transmittal Letter Concerning a Submission Under 35 USC 371- 3 pages;
2. PTO-2038 for \$1,850.00;
3. Preliminary Amendment - 10 pages;
4. Published PCT Patent Application PCT/GB2004/004701 - 76 pages;
5. Seven (7) pages of drawings with labels on backs;
6. PCT International Search Report - 3 pages;
7. UK Search Report dated March 11, 2005 and Combined Search and Examination Report under Sections 17 & 18(3) dated March 14, 2005 - 7 pages;
8. Form PCT/IB/308 - 1 page;
9. Form PCT/IPEA/401 - 3 pages;
10. Form PCT/IPEA/402 - 1 page;
11. PCT Written Opinion for PCT/GB2004/004701 - 5 pages;
12. Response to Written Opinion dated Nov 18, 2005 - 3 pages;
13. Copy of PCT IPER - 6 pages;
14. Application Data Sheet - 3 pages;
15. Information Disclosure Citation - 1 page;
16. Seven (7) patent references - 686 pages;
17. This Express Mail Certificate; and,
18. Postcard.

I hereby certify that the enclosed documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Richard C. Woodbridge
(Typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)